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TRANSMITTAL				
FORM				

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

	<u> </u>	
	Patent No. Application Number	6,939,362 B2 09/997,254
	Issued: Filing Date	September 6, 2005 November 27, 2001
	First Named Inventor	William J. Boyle et al.
	Art Unit	3731
	Examiner Name	Kevin T. Truong
	Attorney Docket Number	ACSES-57086 (2890P)

ENCLOSURES (Check all that apply)						
Fee Transmittal Form	Drawing(s)	After Allowance Communication to TC				
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences				
Amendment / Reply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
After Final	Petition to Convert to a Provisional Application	Proprietary Information				
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter				
Extension of Time Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):				
Express Abandonment Request	Request for Refund CD, Number of CD(s)	1. Request for Certificate of Correction 2. Certificate of Correction 3. Check for \$100.00				
Information Disclosure Statement	Landscape Table on CD	4. Return postcard				
Certified Copy of Priority Document(s)	Remarks	Certificate				
Response to Missing Parts/ Incomplete Application		NOV 2 1 2005				
Reply to Missing Parts under 37 CFR 1.52 or 1.53		NOV 2 1 2005 of Correction				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm Name FULLWIDER PATTON LLP						
Signature Mrs M ng						
Printed name Thomas H. Majcher						
Date November 14, 2005	Reg.	No. 31,119				

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CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature Typed or printed name

Phomas H. Majcher

Date 31,119

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

WILLIAM J. BOYLE ET AL.

Patent No.: 6,939,362 B2

Issued: September 6, 2005

Serial No: 09/997,254

Filed: November 27, 2001

For: OFFSET PROXIMAL CAGE FOR EMBOLIC FILTERING DEVICES

Examiner: Kevin T. Truong

Group Art Unit: 3731

Client ID/Matter No: ACSES 57086 (2890P)

November 14, 2005

Los Angeles, California 90045

REQUEST FOR CERTIFICATE OF CORRECTION

Certificate of Corrections Branch Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The above-identified patent has been found to have the errors set forth in the enclosed Certificate of Correction. It is requested that this Certificate of Correction be issued and returned to us. Since these errors occurred in both the final printing phase of the patent and in the final application, a check in the amount of \$100.00 is enclosed to cover the necessary fees. Should the Office determine that additional fees are needed, please charge Deposit Account No. 06-2425.

The errors are verifiable in the patent application file as follows:

<u>ERROR</u>	VERIFICATION
Column 4, line 11, delete "flouroscopy" and insertfluoroscopy	Applicant error.
Column 8, line 6, delete "device is used" and insertdevice used	Applicant error.
Column 11, line 41, delete "possible" and insertpossibly	Applicant error.
Column 13, line 49, delete "to create to" and insertto create	Applicant error.
Column 14, line 7, delete "de ice" and insertdevice	Amendment After Final dated February 23, 2004. See Attachment A.
Column 16, line 17, delete "elongated member an" and insertelongated member and	Amendment After Final dated February 23, 2004. See Attachment A.
Column 16, line 39, delete "elongated member an" and insertelongated member and	Amendment After Final dated February 23, 2004. See Attachment A.
Column 16, line 43, delete "alone" and insertalong	Amendment After Final dated February 23, 2004. See Attachment A.

These errors occurred in good faith and correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination. It is requested that a Certificate of Correction be issued and returned to us.

Attached hereto, in duplicate, is Form PTO-1050, with at least one copy being suitable for printing.

A duplicate of this document is attached.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:

Thomas H. Majchen

Registration No. 31,119

THM:ck

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045 Telephone: (310) 824-5555

Facsimile: (310) 824-9696

Customer No. 24201

Amdt. Dated: February 17, 20 Reply to Office Action of November 14, 2003

IN THE CLAIMS:

The listing of claims will replace all prior versions, and listings, of claims in the application:

(Currently Amended) A cage for an embolic filtering device used to filter 1. embolic particles from a body vessel, comprising:

a proximal strut assembly connected to a distal strut assembly which together extend along a longitudinal axis and are both movable between an unexpanded position and an expanded position, the distal strut assembly having an inlet opening which expands and [conforms to the wall of the body vessel] forms an outer periphery of the cage when placed in the expanded position; wherein the proximal strut assembly is adapted to extend substantially along the [wall of the body vessel] outer periphery in a parallel relationship with the longitudinal axis when placed in the expanded position and the proximal strut assembly is adapted to be rotatably attached to an elongated member.

- (Canceled) 2.
- (Canceled) 3.

Appl. No.: 09/997,254

Docket No.: ACS 57086 (2890P)

Amdt. Dated: February 17, 200 Reply to Office Action of November 14, 2003

a filtering assembly attached to the elongated member and movable between an unexpanded position and an expanded position, the filtering assembly including an expandable cage and a filter member attached to the expandable cage, the expandable cage extending along a longitudinal axis and including a proximal strut assembly connected to a distal strut assembly, the proximal strut assembly being made from a different material than the distal strut assembly, the filter member being attached to the distal strut assembly, wherein the distal strut assembly has an inlet opening which expands to form an outer periphery of the cage and conforms to the wall of the body vessel to allow embolic particles to enter the filter member and the proximal strut assembly is adapted to extend substantially along the [wall of the body vessel] outer periphery in a parallel relationship with the longitudinal axis when placed in the expanded position.

28. (Currently Amended) An embolic filtering device used to filter embolic particles from a body vessel, comprising:

an elongated member;

a filtering assembly attached to the elongated member and movable between an unexpanded position and an expanded position, the filtering assembly including an expandable cage and a filter member attached to the expandable cage, the expandable cage extending along a longitudinal axis and including a proximal strut

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Appl. No.: 09/997,254

Docket No.: ACS 57086 (2890P)

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO.

: 6,939,362 B2

APPLICATION NO.: 09/997,254

ISSUE DATE

: September 6, 2005

INVENTOR(S)

: William J. Boyle et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 4.

Line 11, delete "flouroscopy" and insert --fluoroscopy--.

Column 8,

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Line 17, delete "elongated member an" and insert --elongated member and--.

Line 39, delete "elongated member an" and insert -- elongated member and--.

Line 43, delete "alone" and insert --along--.

MAILING ADDRESS OF SENDER:

Thomas H. Majcher Fulwider Patton Lee & Utecht LLP 6060 Center Drive, 10th Floor Los Angeles, CA 90045

This collection of information is required by 37 CFR 1.322 and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief of Information Officer, U.S. Patent and Trademark Office, U.S. Department. of Commerce, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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- 2. (Canceled)
- 3. (Canceled)

Appl. No.: 09/997,254 Docket No.: ACS 57086 (2890P) Amdt. Dated: February 17, 200 Reply to Office Action of November 14, 2003

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Appl. No.: 09/997,254 Docket No.: ACS 57086 (2890P)

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

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APPLICATION NO.: 09/997,254

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